



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 09/460,045

Filed: December 13, 1999

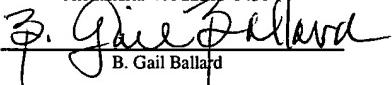
Confirmation No. 1941

Inventors:

Nesmith et al.

Title: COMPUTERIZED  
METHOD AND SYSTEM  
FOR TRADING OF  
SECURITIES

§ Examiner: E. Colbert  
§ Art Unit: 3624  
§ Atty. Dkt. No: 5226-00600

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8	
DATE OF DEPOSIT: July 23, 2004	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail on the date indicated above and is addressed to: Commissioner for Patents Alexandria VA 22313-1450	
 B. Gail Ballard	

AMENDMENT; RESPONSE TO OFFICE ACTION MAILED APRIL 23, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313

Sir:

In response to the Office Action of April 23, 2004, please amend the above-identified application as follows:

*Amendments to the Claims* are reflected in the listing of claims that begins on page 2 of this paper.

*Remarks/Arguments* begin on page 16 of this paper.